

PATENT APPLICATION
Docket No. 15436.249.26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kevin Zhang et al.

Serial No.: 09/941,474

Filed: August 28, 2001

Confirmation No.: 8102

For: METHOD AND APPARATUS FOR
PRECISION TUNING AN OPTICAL
FILTER USING A BALL-END JOINT

Examiner: Kevin S. Wood

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the following documents are being facsimile transmitted to United States Patent and Trademark Office at Fax No. 703.872.9306 on the 5th day of April 2004.

- Transmittal Letter (3 pages)
- Amendment to Final Office Action (10 pages)
- Petition for an Extension of Time (2 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$110.00

Respectfully submitted,

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Attorney for Applicant
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Docket No. 15436.249.26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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TRANSMITTAL FOR AMENDMENT TO FINAL OFFICE ACTION

Sir:

Transmittcd herewith is an Amendment to Final Office Action for entry in
the above-identified application.

- Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.
 - To secure the approval of the Examiner for proposed amendments to the drawings, enclosed are the following:

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- Letter to the Official Draftsperson;
- Set of _____ () sheets of drawings containing Figures _____ including the proposed amendments therein; and
- A duplicate set of the _____ () sheets of drawings with the changes therein highlighted in red.
- To render the transmitted Amendment to Final Office Action timely filed enclosed are the following:
 - Petition for a One-Month Extension of Time; and
 - Form PTO-2038 submitting Credit Card Payment in the amount of \$110.00 to cover the fcc for the extension of time.
- A Certificate of Facsimile.
- No other additional fee is required.

The fee has been calculated as follows:

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDT'L FEE	RATE	ADDT'L FEE
TOTAL	MINUS	=	X		X	
INDEPENDENT	MINUS	=	X		X	
1 ST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			+ _____		+ _____	
			TOTAL		TOTAL	

- Form PTO-2038 submitting Credit Card Payment in the amount of \$____ is enclosed to cover the additional claim fcc.
- Please charge my Deposit Account No. 23-3178 in the amount of _____.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.
- Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

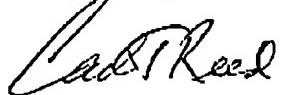
April 5, 2004
Page 3

X Any patent application processing fees under 37 CFR 1.17.

— A duplicate copy of this transmittal letter is attached.

Dated this 5th day of April 2004.

Respectfully submitted,



CARL T. REED
Attorney for Applicant
Registration No. 45,454
Customer No. 022913

CTR:dfw
D:\W0000009998V001

**Response Under 37 C.F.R. § 1.116
Expedited Procedure
Examining Group 2874**

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AMENDMENT TO FINAL OFFICE ACTION MAILED DECEMBER 5, 2003

Mail Stop AF -- FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Final Office Action mailed December 5, 2003, please amend the above-identified patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begins on page 8 of this paper.